



national planning corporation
NPC of America[®] in Florida and New York
Member FINRA, SIPC

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“Go Green” Electronic Delivery Program

National Planning Corporation[®] (NPC[®]) offers its clients the option of going “paperless” by enrolling in our electronic delivery program (eDelivery) and receiving account-related communications electronically in lieu of receiving hard copy paper documents. Benefits of eDelivery include:

- A more efficient means of receiving information about your account and immediately upon becoming available;
- The ability to access, view, save and print account-related documents anywhere at any time via the web;
- Improved security and reliability versus traditional mail; and
- A more environmentally friendly option resulting in reduced paper consumption.

You may elect to enroll in eDelivery by providing your email address where indicated. The following information is being provided to address questions you may have about our eDelivery program, and to outline the terms and conditions that govern your participation upon enrollment.

How does eDelivery work?

You will receive an email that contains either a PDF file or a hyperlink that will connect you to the relevant information on a particular web page from either our client web portal, or the website of a designated third party. To view PDF files you will need to download the Adobe Acrobat Reader, which is provided by Adobe at no charge at www.adobe.com. Technical assistance is available from NPC’s IT Department at (888) 711-6720.

In all cases, data security is a paramount concern and we have strong security systems and procedures in place to ensure that your personal information remains protected and strictly confidential.

To whom does my eDelivery consent apply?

Your consent only allows NPC and your Representative to provide you with electronic information. Other third party product sponsors or service providers may also offer an eDelivery option which we encourage you to consider; however, this will occur pursuant to a separate consent request that they will be required to obtain from you.

What type of communications will I receive via eDelivery?

Your consent for eDelivery enables us to issue various legal and regulatory communications to you via email that do not contain any personally identifiable information, such as our annual privacy notice, investment prospectuses or offering memorandums, Form ADV for investment advisory accounts, notice of changes to our policies, amendments to our terms and conditions, and other such disclosures.

In addition, for clients signed up for our secure client web portal, we may also deliver hyperlinks providing access to your account-related documents that contain personally identifiable information, such as account statements, trade confirmations, transaction documents, performance reports, and other account level documents, as they may become available via electronic means.

Notwithstanding your consent to eDelivery, please note that NPC reserves the right to deliver certain documents in paper format at its sole discretion as it may deem appropriate or necessary.

Is there a fee to participate in eDelivery?

No. eDelivery is offered as a complimentary service.

May I still receive paper copies of documents if I enroll in eDelivery?

Yes. You may always request a paper copy of any document received via eDelivery either by contacting your Representative or NPC. However, you cannot simultaneously receive all documents via eDelivery and through the mail.

What if I no longer wish to participate in eDelivery?

At any time, you may terminate your consent to eDelivery and revert to paper-based delivery simply by providing a written request to your Representative or NPC.

Please review the following additional terms and conditions governing participation in eDelivery.

By consenting to electing eDelivery, you agree that:

- Your consent shall apply to all accounts that you either own or for which you are otherwise authorized to act, including joint accounts, fiduciary accounts and corporate accounts;
- Documents provided via electronic means will be deemed delivered and received by you personally when sent, whether or not you access or review the documents;

- You should promptly and carefully review all account-related documents including all account statements, trade confirmations and balance and position data, and immediately notify NPC in writing of any perceived errors or inconsistencies;
- Although NPC and your Representative currently do not charge extra fees for the electronic delivery of documents, you could incur data download fees from an Internet service provider or your cell phone carrier when you access information online;
- Internet service providers may occasionally experience system failures beyond our control and hyperlinks to documents may not function properly such that NPC cannot in all cases guarantee the accuracy, timeliness or completeness of these communications or warranty the documents for any particular purpose or need;
- You will keep a working and operational email address and will promptly update your information on file with us if your email address(es) changes;
- You shall remain responsible for maintaining safeguards to protect against unauthorized access to your email account.

These above current terms and conditions supersede those of any previous eDelivery consent you may have provided.

Should you experience any difficulties or concerns with electronic delivery of any documents, please contact your Representative or NPC at (888) 711-6720.